

| TRANSMITTAL LETTER | | | Case No. 10000/212 |
|--|---------------------------------|----------|--------------------|
| Serial No. 10/699,487 | Filing Date October 31, 2003 | Examiner | Group Art Unit |
| Inventor(s) David M. Hardin et al. | | | |
| Title of Invention HANDLE FOR MEDICAL DEVICES, AND MEDICAL DEVICE ASSEMBLIES INCLUDING A HANDLE | | | |

TO THE COMMISSIONER FOR PATENTS

- ☒ Transmitted herewith is First Supplemental Information Disclosure Statement (in duplicate), PTO Form 1449, Cited Foreign References.
- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a _____ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

| | | | | | Small Entity | | or | Other Than Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|-----------------|-----------|----|-------------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | | Rate | Add'l Fee |
| Total | | Minus | | | x \$9 = | | | x \$18 = | |
| Indep. | | Minus | | | x 43 = | | | x \$86 = | |
| First Presentation of Multiple Dep. Claim | | | | | + \$145 = | | | + \$290 = | |
| | | | | | Total add'l fee | \$ | | Total add'l fee | \$ |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Timothy K. Klintworth
Registration No. 46,162
Attorney for Applicants
Customer No. 00757 - Brinks Hofer Gilson Lione

BRINKS HOFER GILSON & LIONE
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CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 4/2/04

Date: 4/8/04

Signature: [Signature]

CERTIFICATE OF MAILING



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

4/2/04

Date of Deposit

Timothy K. Klintworth

Name of Applicant, Assignee or
Registered Representative

Signature

4/2/04

Date of Signature

Our Case No.: 10000/212

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David M. Hardin et al.

Serial No.: 10/699,487

Filing Date: October 31, 2003

For: HANDLE FOR MEDICAL
DEVICES, AND MEDICAL DEVICE
ASSEMBLIES INCLUDING A
HANDLE

Examiner: N/A

Group Art Unit No.: N/A

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R.



§ 1.98(a)(2) are enclosed for the convenience of the Examiner. Since this application was filed after June 20, 2003, only copies of foreign patent documents and those classified as other art are included.

The references now cited are the following:

U.S. PATENTS

| No. | Date | Name |
|----------------------|----------|-----------------|
| U.S. 3,613,664 | 10/19/71 | Willson et al. |
| U.S. 5,127,419 | 07/07/92 | Kaldany |
| U.S. 5,683,413 | 11/04/97 | Miyagi |
| U.S. 6,283,951 B1 | 09/04/01 | Flaherty et al. |
| U.S. 6,423,074 B1 | 07/23/02 | Chen |
| U.S. 2002/0002349 A1 | 01/03/02 | Flaherty et al. |

FOREIGN PATENTS

| No. | Date | Country |
|-----------------|----------|---------|
| EP 0 410 561 A1 | 04/25/90 | EPO |
| EP 0 738 501 A1 | 02/11/95 | EPO |
| WO 96/39077 | 12/12/96 | PCT |

In accordance with 37 C.F.R. § 1.97(g),(h), this First Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This First Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this First Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is

hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit
Account No. 23-1925. A duplicate copy of this document is enclosed.

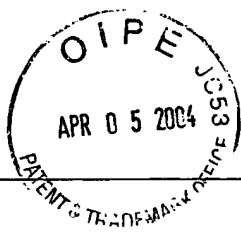
Applicant(s) respectfully request that the listed documents be made of record in
the present case.

Respectfully submitted,



Timothy K. Klintworth
Registration No. 46,162
Attorney for Applicant(s)

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|---|--------------------------------------|-----------------------|
| FORM PTO-1449 | SERIAL NO. 10/699,487 | CASE NO. 10000/212 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE October 31, 2003 | GROUP ART UNIT |
| (use several sheets if necessary) | APPLICANT(S): David M. Hardin et al. | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|----|--|----------|-----------------|--------------------|----------------|
| | A1 | 3,613,664 | 10/19/71 | Willson et al. | | |
| | A2 | 5,127,419 | 07/07/92 | Kaldany | | |
| | A3 | 5,683,413 | 11/04/97 | Miyagi | | |
| | A4 | 6,283,951 B1 | 09/04/01 | Flaherty et al. | | |
| | A5 | 6,423,074 B1 | 07/23/02 | Chen | | |
| | A6 | 2002/0002349A1 | 01/03/02 | Flaherty et al. | | |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|---------------------|-----|--|----------|---------|--------------------|--------------------------|
| | A7 | EP 0 410 561 A1 | 04/25/90 | EPO | | |
| | A8 | EP 0 738 501 A1 | 02/11/95 | EPO | | |
| | A9 | WO 96/39077 | 12/12/96 | PCT | | |
| | A10 | | | | | |
| | A11 | | | | | |

| EXAMINER INITIAL | OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small> | |
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| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.